| FORM PTO-13   | 2 DEDARTMENT OF COM  | MERCE PATENT AND TRADEMARK OFFICE                           |  |  |  |  |  |  |  |  |  |
|---|--|---|--|--|--|--|--|--|--|--|--|
| (REV. 9-2001)   |  | ATTORNEY'S DOCKET NUMBER                                    |  |  |  |  |  |  |  |  |  |
| TI  | RANSMITTAL LETTER  | 016273-00180 U.S. APPLICATION NO. (If known, see 37 CFR 1.5 |  |  |  |  |  |  |  |  |  |
| DESIGNATED/ELECTED OFFICE (DO/EO/US)  |  |   | 10/019/00                              |  |  |  |  |  |  |  |  |
| CONCERNING A FILING UNDER 35 U.S.C. 371   |  |   | PRIORITY DATE CLAIMED                  |  |  |  |  |  |  |  |  |
|   | ATIONAL APPLICATION NO.  | INTERNATIONAL FILING DATE 24 May 2000 (24.05.00)            | 15 June 1999 (15.06.99)                |  |  |  |  |  |  |  |  |
|   | <u>P00/04706</u><br>F INVENTION  | 24 May 2000 (2 1.00.00)                                     | 10 dulle 1999 (10.00.55)               |  |  |  |  |  |  |  |  |
| DEVICE FOR CLEANING AND RINSING DRINKING VESSELS  |  |   |  |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US<br>Schicker & Schafer GMBH et al  |  |   |  |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |  |   |  |  |  |  |  |  |  |  |  |
| 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.   |  |   |  |  |  |  |  |  |  |  |  |
| _   |  |   |  |  |  |  |  |  |  |  |  |
| 3. 🔲 T  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include                        |   |  |  |  |  |  |  |  |  |  |
| . 🗀   | items (5), (6), (9) and (21) indicated below.  The US has been elected by the expiration of 19 months from the priority date (Article 31). |   |  |  |  |  |  |  |  |  |  |
| 5. 🗸 A  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))   |   |  |  |  |  |  |  |  |  |  |
|   | a. is attached hereto (required only if not communicated by the International Bureau).   |   |  |  |  |  |  |  |  |  |  |
|   | b. In has been communicated by the International Bureau.   |   |  |  |  |  |  |  |  |  |  |
| _   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).  |   |  |  |  |  |  |  |  |  |  |
| _   | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a. is attached hereto.                   |   |  |  |  |  |  |  |  |  |  |
| b   | =  | itted under 35 U.S.C. 154(d)(4).                            |  |  |  |  |  |  |  |  |  |
| 7. 🗹 A  | mendments to the claims of the Int   | ternational Aplication under PCT Article 19 (               | (35 U.S.C. 371(c)(3))                  |  |  |  |  |  |  |  |  |
| a.  | =  | ed only if not communicated by the Internation              | onal Bureau).                          |  |  |  |  |  |  |  |  |
| b.  | <u> </u>   | by the International Bureau.                                |  |  |  |  |  |  |  |  |  |
| c.  | have not been made; howe   | ever, the time limit for making such amendme                | ents has NOT expired.                  |  |  |  |  |  |  |  |  |
| d.  | .  have not been made and w  | ill not be made.  |  |  |  |  |  |  |  |  |  |
| 8. 🗹 A  | n English language translation of the  | he amendments to the claims under PCT Arti                  | cle 19 (35 U.S.C. 371 (c)(3)).         |  |  |  |  |  |  |  |  |
| 9. 🔲 A  | n oath or declaration of the inventor  | or(s) (35 U.S.C. 371(c)(4)).                                |  |  |  |  |  |  |  |  |  |
|   | n English lanugage translation of tarticle 36 (35 U.S.C. 371(c)(5)).   | he annexes of the International Preliminary E               | Examination Report under PCT           |  |  |  |  |  |  |  |  |
| Items   | 11 to 20 below concern documen   | t(s) or information included:                               |  |  |  |  |  |  |  |  |  |
| 11. 🔲   | An Information Disclosure Statem   | ent under 37 CFR 1.97 and 1.98.                             |  |  |  |  |  |  |  |  |  |
| 12. 🔲   | An assignment document for recor   | rding. A separate cover sheet in compliance                 | with 37 CFR 3.28 and 3.31 is included. |  |  |  |  |  |  |  |  |
| 13.   | A FIRST preliminary amendment.   |   |  |  |  |  |  |  |  |  |  |
| 14.   | A SECOND or SUBSEQUENT preliminary amendment.  |   |  |  |  |  |  |  |  |  |  |
| 15.   | A substitute specification.  |   |  |  |  |  |  |  |  |  |  |
| 16.   | A change of power of attorney and/or address letter.   |   |  |  |  |  |  |  |  |  |  |
| 17.   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.                          |   |  |  |  |  |  |  |  |  |  |
| 18.   | A second copy of the published international application under 35 U.S.C. 154(d)(4).  |   |  |  |  |  |  |  |  |  |  |
| 19.   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).                              |   |  |  |  |  |  |  |  |  |  |
| 20. 🗹   | Other items or information:  |   |  |  |  |  |  |  |  |  |  |
|   | Complete English translation of specification and claims containing amendments.  |   |  |  |  |  |  |  |  |  |  |
|   | - <del>-</del>   |   |  |  |  |  |  |  |  |  |  |
| i   |  |   |  |  |  |  |  |  |  |  |  |

| U.S. APPLICATION NO. (if know  | APPLICATION NO. (if known, see 37 CFR 1.5)  INTERNATIONAL APPLICATION NO.  PCT/EP00/04706  |  |                         |     |              | ATTORNEY'S DOCKET NUMBER 016273-00180 |  |  |  |  |
|--|--|--|-------------------------|-----|--------------|---------------------------------------|--|--|--|--|
| 21 The followi   | ing fees are submitted   | CALCULATIONS PTO USE ONLY                                  |                         |     |              |                                       |  |  |  |  |
| _  | FEE (37 CFR 1.492)   |  |                         |     |              |                                       |  |  |  |  |
| Neither internations   | al preliminary examin<br>arch fee (37 CFR 1.4<br>earch Report not prep   |  |                         |     |              |                                       |  |  |  |  |
| International prelim<br>USPTO but Interna  | inary examination fee<br>itional Search Report   |  |                         |     |              |                                       |  |  |  |  |
| International prelim<br>but international sea  | inary examination fee<br>arch fee (37 CFR 1.44   |  |                         |     |              |                                       |  |  |  |  |
| but all claims did no  | ninary examination fee<br>ot satisfy provisions of   |  |                         |     |              |                                       |  |  |  |  |
| and all claims satisf  | ninary examination fee<br>fied provisions of PCT<br>R APPROPRIAT   | \$   |                         |     |              |                                       |  |  |  |  |
|  |  | Э  | -                       |     |              |                                       |  |  |  |  |
| Surcharge of \$130.0 months from the ear   | of for furnishing the or liest claimed priority of   | \$   |                         |     |              |                                       |  |  |  |  |
| CLAIMS   | NUMBER FILED   | NUMBER EXTRA   | RATE                    | \$  |              |                                       |  |  |  |  |
| Total claims   | - 20 =   |  | x \$18.00               | \$  |              |                                       |  |  |  |  |
| Independent claims   | -3 =   | 1: 11 \  | x \$84.00               | \$  | <u> </u>     |                                       |  |  |  |  |
| MULTIPLE DEPEN   | DENT CLAIM(S) (if  | OF ABOVE CALCU   | + \$280.00              | \$  |              |                                       |  |  |  |  |
| Applicant claim are reduced by   | s small entity status.   | \$   |                         |     |              |                                       |  |  |  |  |
| are reduced by   |  | CI   | UBTOTAL =               | \$  | <del> </del> |                                       |  |  |  |  |
| Processing fee of \$1  | 30.00 for furnishing t   | he English translation later to<br>date (37 CFR 1.492(f)). |                         | \$  |              |                                       |  |  |  |  |
| mondis from the car  | - Itest claimed priority   | TOTAL NATIO  | NAL FEE =               | \$  |              |                                       |  |  |  |  |
| Fee for recording the accompanied by an a  | e enclosed assignment  | \$   |                         |     |              |                                       |  |  |  |  |
|  |  | \$   |                         |     |              |                                       |  |  |  |  |
|  |  |  | ount to be<br>refunded: | \$  |              |                                       |  |  |  |  |
|  |  |  |                         |     | charged:     | \$                                    |  |  |  |  |
| a. A check in the amount of \$ to cover the above fees is enclosed.  |  |  |                         |     |              |                                       |  |  |  |  |
| b. Please char<br>A duplicate  | b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed. |  |                         |     |              |                                       |  |  |  |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.                  |  |  |                         |     |              |                                       |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card   |  |  |                         |     |              |                                       |  |  |  |  |
| information should not be included on this form. Provide credit card information and authorization on PTO-2038.  |  |  |                         |     |              |                                       |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. |  |  |                         |     |              |                                       |  |  |  |  |
|  | •  |  |                         | / [ | 4            |                                       |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:  |  |  |                         |     |              |                                       |  |  |  |  |
| V SIGNATURE  |  |  |                         |     |              |                                       |  |  |  |  |
|  |  | Vilson Jónes   |                         |     |              |                                       |  |  |  |  |
|  |  |  |                         |     |              |                                       |  |  |  |  |
|  |  |  | 31,38                   |     |              |                                       |  |  |  |  |
|  | REGISTRATION NUMBER  |  |                         |     |              |                                       |  |  |  |  |
| I  |  |  |                         |     |              |                                       |  |  |  |  |



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVING OFFICE

In re Applicant:

Schicker & Schafer GMBH

Filed: December 13, 2001

For: Device for Cleaning and Rinsing
Drinking Vessels

SNEW PCT NATIONAL APPLICATION

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NEW PCT NATIONAL APPLICATION

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Attorneys' Docket No. 016273-00180

## **CERTIFICATE OF MAILING BY EXPRESS MAIL**

United States Patent and Trademark Office BOX PCT Washington, D. C. 20231

Dear Sir:

I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" service as Express Mail No. EL179470686US in an envelope addressed to: United States Patent and Trademark Office, BOX PCT, Washington, D.C. 20231.

- (1) Transmittal Letter Form PTO-1390 (2 copies);
- (2) Translator's Certificate;
- (3) Translated amendment sheets and amendment claims;
- (4) Complete English translation of specification and claims containing amendments; and
- (5) Return receipt postcard.

Respectfully submitted,

Date: December 13, 2001

Reg. No. 31,380

LOCKE LIDDELL & SAPP LLP 600 Travis, Suite 3400 Houston, Texas 77002-3095 (713) 226-1200 (713) 223-3717 FAX